

**PRIVACY POLICY**

When you use GBM Wealth Management, Inc. (“GBM”), you entrust us not only with your hard-earned assets, but also your personal and financial data. We consider your data to be private and confidential, and we hold ourselves to the highest standard of trust in their safekeeping and use.

**Information collected that GBM SHARES with our affiliate(s)\***

We may disclose all of the information that we collect, as described here, with our affiliates\* for everyday business purposes – information about your transactions and experiences.

We collect non-public information about our clients such as you from the following sources:

- Information we receive from you on applications and other forms – this information can include, but is not limited to: your name, address, and employment history;
- Information about your current account with us - this information can include, but is not limited to: your transactions, balances, and holdings.

**Information collected that GBM DOES NOT SHARE with any affiliate\* or other third party:**

We do not disclose any of our client’s information, collected in the above sources or otherwise, for the following:

- For marketing purpose – includes affiliates\* and non-affiliates
- For joint marketing with other financial companies
- Information about your creditworthiness

Aside from affiliates\*, we do not disclose any non-public personal information about our customers or former customers to anyone, except as permitted by law. Moreover, we will not release information about our customers unless one of the following conditions is met:

- We receive your prior consent
- We believe the recipient to be you or your authorized representative
- We are required by law to release information to the recipient

We only use information about you and your account to help us better serve you and your investment needs or to suggest services or educational materials that may interest you. We maintain physical, electronic, and procedural safeguards to protect your personal account information. We also restrict access to your personal and financial data to GBM associates who have a need for these records. We do not advise you send information to us in non-secure emails. We require all non-affiliated organizations to conform to our privacy standards and they are contractually obligated to keep information provided confidential and use as requested. Furthermore, we will adhere to the privacy policies and practices described in this notice, even after your account becomes inactive or is closed. We will continue to conduct our business in a manner that conforms with our pledge to you, your expectations and all applicable laws.

\*Affiliate: any company that controls, is under common control with GBM. They can be financial or non-financial companies. Our affiliates include companies whose name include “GBM”, “Grupo Bursatil Mexicano”, and Corporativo GBM”.